

GORENSTEIN PROPERTIES OF SPLIT-BY-NILPOTENT EXTENSION ALGEBRAS

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ABSTRACT. Let A be a finite-dimensional k -algebra over an algebraically closed field k . In this note, we study the Gorenstein homological properties of a split-by-nilpotent extension algebra. Let R be a split-by-nilpotent extension of A . We provide sufficient conditions to ensure when a Gorenstein-projective module over A induces a similar structure over R . We also study when a Gorenstein-projective R -module induces a Gorenstein-projective A -module. Moreover, we study the relationship between the Gorensteinness of A and R .

INTRODUCTION

Gorenstein homological algebra has been thoroughly studied in the last decades. These algebras played an important role in the representation theory of finite-dimensional algebras. In particular, for the setting of cluster theory, we highlight the work of B. Keller and I. Reiten [12], where they proved that all cluster algebras are Gorenstein. It is well known also that all gentle algebras are Gorenstein, see [10]. In [15], C. M. Ringel studied indecomposable Gorenstein-projective modules over Nakayama algebras and characterized Nakayama algebras that are Gorenstein. In a similar direction, in [7] the authors classified Gorenstein-projective modules over monomial algebras.

Finitely generated Gorenstein-projective modules over a noetherian ring were introduced by M. Auslander and M. Bridger [3]. More generally, the notion of Gorenstein-projective modules over an arbitrary ring was defined by E. Enochs and O. Jenda in [8]. Consequently, if we construct the dual of those modules we obtain Gorenstein-injective modules, which were also developed in [8].

It is not clear that classical constructions over algebras preserve Gorenstein properties. Under certain hypotheses, it is possible to define new Gorenstein algebras from the initial ones, see for example [6], [13], or [18].

In this work, we focus our attention on Gorenstein-projective modules over split-by-nilpotent extension algebras.

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Let A be a finite-dimensional k -algebra over an algebraically closed field k and R a split-extension of A by the nilpotent ideal E . A recurrent problem of split-by-nilpotent extension algebras is to predict the properties that R inherits from the preceding algebra. The principal tool used in this work to study the connection between the categories $\text{mod } A$ and $\text{mod } R$ is the theory of change of rings functors. The main result of our work is the following.

Theorem A (Corollary 2.2). *Let R be a split-by-nilpotent extension of A by the nilpotent ideal E .*

- (i) *Assume that $\text{pd}_A R < \infty$, $\text{id}_A R < \infty$, $\text{pd}_A DR < \infty$ and $\text{id}_A DR < \infty$. If N is a Gorenstein-projective A -module then $R \otimes_A N$ is a Gorenstein-projective R -module.*
- (ii) *Assume that $\text{pd}_R A < \infty$, $\text{id}_R A < \infty$, $\text{pd}_R DA < \infty$ and $\text{id}_R DA < \infty$. If L is a Gorenstein-projective R -module then $A \otimes_R L$ is a Gorenstein-projective R -module.*

We obtain a similar result to the one stated in Theorem A for Gorenstein-injective modules.

Finally, it is of particular interest in the representation theory of artin algebras the study of Gorenstein-projective modules over Gorenstein algebras. By assuming some hypotheses, as we show below in Theorem B, it is possible to determine that the extension always inherits the quality of A of being Gorenstein.

Theorem B (Theorem 2.3). *Let R be a split-by-nilpotent extension of A by the nilpotent ideal E . Assume that $\text{pd}_R E < \infty$, $\text{pd}_A E < \infty$, $\text{id}_R DE < \infty$ and $\text{id}_A DE < \infty$. Then A is Gorenstein if and only if R is Gorenstein.*

The note is organized as follows. After a brief section of preliminaries, we devote Section 2 to the proofs of Theorems A and B.

1. PRELIMINARIES

Throughout this note, all algebras are associative basic connected finite-dimensional over an algebraically closed field k . For an algebra A , we denote by $\text{mod } A$ the category of finitely generated left A -modules.

We denote by D the usual standard duality $\text{Hom}_k(-, k) : \text{mod } A \rightarrow \text{mod } A^{op}$, see [2, I, 2.9].

1.1. Gorenstein-projective modules. A complex C^\bullet of A -modules is *acyclic* provided that it is exact as a sequence or, equivalently, $H^n(C^\bullet) = 0$ for all n . It follows from [4, p. 400] that a complex P^\bullet of projective A -modules is *totally acyclic* provided it is acyclic and the Hom complex $\text{Hom}(P^\bullet, A)$ is also acyclic.

Following E. Enochs and O. Jenda [9], we have the following definition.

Definition 1.1. An A -module M is *Gorenstein-projective* provided that there is a totally acyclic complex P^\bullet of projective modules such that its 0-th cocycle $Z^0(P^\bullet)$ is isomorphic to M .

Let us denote by $A\text{-Gproj}$ the full subcategory of $\text{mod } A$ consisting of Gorenstein-projective modules. In Definition 1.1, the complex P^\bullet is said to be a *complete resolution* of M . Note that any projective module P is Gorenstein-projective. Therefore, $A\text{-proj} \subset A\text{-Gproj}$. If we consider the dual of Gorenstein-projective modules we obtain the notion of Gorenstein-injective modules, see [9].

Following [16], a module M is said to be *semi-Gorenstein-projective* provided that $\text{Ext}_A^i(M, A) = 0$ for all $i \geq 1$. All Gorenstein-projective modules are semi-Gorenstein-projective modules. If we denote by ${}^\perp A$ the class of all semi-Gorenstein-projective modules, then $A\text{-Gproj} \subset {}^\perp A$. It is well known that a module M is a Gorenstein-projective module if and only if both M and $\text{Tr } M$ are semi-Gorenstein-projective modules, see for example [16]. In [14], R. Marczinzik presented examples of non-commutative algebras with semi-Gorenstein-projective modules which are not Gorenstein-projective modules. The following result shall be helpful for our purpose.

Lemma 1.2. *Let $M \in {}^\perp A$ and $L, L' \in \text{mod } A$.*

- (i) *If $\text{pd}_A L < \infty$ then $\text{Ext}_A^i(M, L) = 0$ for all $i \geq 1$.*
- (ii) *If $\text{id}_A L' < \infty$ then $\text{Tor}_A^i(L, M) = 0$ for all $i \geq 1$.*

Recall that an artin algebra A is *Gorenstein* provided that the regular module A has finite injective dimension on both sides, see [11].

It is well known that if A is an artin algebra then it is Gorenstein if and only if $\text{id}_A A < \infty$ and $\text{pd}_A DA < \infty$.

Proposition 1.3 ([9, Proposition 9.1.7]). *Let A be a Gorenstein algebra and $M \in \text{mod } A$. Then M has finite projective dimension if and only if M has finite injective dimension.*

1.2. Split extensions of algebras by a nilpotent ideal. Given two algebras A and R and a surjective algebra homomorphism $\pi : R \rightarrow A$, the morphism π is said to be *split* if there exists an algebra homomorphism $\sigma : A \rightarrow R$ such that $\pi\sigma = \text{Id}_A$. In this situation, the kernel E of π is a two-sided ideal in R . Therefore we have a short exact sequence of abelian groups

$$0 \rightarrow E \xrightarrow{i} R \begin{matrix} \xrightarrow{\pi} \\ \xleftarrow{\sigma} \end{matrix} A \rightarrow 0, \tag{1.1}$$

where i denotes the inclusion morphism. Since $\pi\sigma = \text{Id}_A$, we have that σ is injective and thus A is isomorphic to a subalgebra of R . In particular, E inherits an A - A -bimodule structure by restriction of scalars. Therefore, (1.1) is a split sequence of A -modules, which allows us to find an A -module isomorphism, $R \cong A \oplus E$.

Definition 1.4. Let A, R be algebras. We say that R is a *split extension* of A by a nilpotent ideal E , or a *split-by-nilpotent extension* for short, if there exists a split surjective algebra homomorphism $\pi : R \rightarrow A$ whose kernel is E .

Let R be a split-by-nilpotent extension of A by the nilpotent ideal E . Then we have two categories $\text{mod } A$ and $\text{mod } R$. A problem of interest in the theory of split

extensions is to compare these two categories. With this purpose we define both changes of ring functors as

$$R \otimes_A - : \text{mod } A \rightarrow \text{mod } R$$

and

$$A \otimes_R - : \text{mod } R \rightarrow \text{mod } A.$$

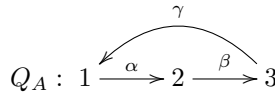
These functors satisfy the following adjunction relation: $A \otimes_R R \otimes_A - \cong \text{Id}_{\text{mod } A}$. The reverse composition of these functors, in general, is not equal to the identity in $\text{mod } R$.

In [1, Lemma 1.2], I. Assem and N. Marmaridis proved that there is a bijective correspondence between the isoclasses of indecomposable projective A -modules and the isoclasses of indecomposable projective R -modules.

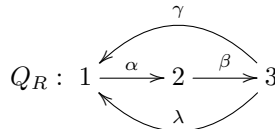
Proposition 1.5 ([17, Proposition 4.4]). *Let R be a split-by-nilpotent extension of A by the nilpotent ideal E . The following conditions hold:*

- (i) *If $L \in \text{mod } A$, then*
 - (a) $\text{pd}_A L \leq \text{pd}_R L + \text{pd}_A E$;
 - (b) $\text{id}_A L \leq \text{id}_R L + \text{id}_A DE$.
- (ii) *If $N \in \text{mod } R$, then*
 - (a) $\text{pd}_R N \leq \text{pd}_A N + \text{pd}_R A$;
 - (b) $\text{id}_R N \leq \text{id}_A N + \text{id}_R DA$.

Remark 1.6. In general, for $L \in \text{mod } R$ it is not true that if $\text{pd}_R L < \infty$ then $\text{pd}_A L < \infty$. For example, consider the following algebras:

$$Q_A : 1 \xrightarrow{\alpha} 2 \xrightarrow{\beta} 3 \quad I_A = \langle \alpha\gamma\beta\alpha \rangle, \quad A = kQ_A/I_A$$


and

$$Q_R : 1 \xrightarrow{\alpha} 2 \xrightarrow{\beta} 3 \quad I_R = \langle \alpha\gamma\beta\alpha, \alpha\lambda \rangle, \quad R = kQ_R/I_R.$$


Observe that R is a split extension of A by the ideal generated by λ . Consider L the projective R -module corresponding to the vertex 3. Then, as an A -module, L is isomorphic to $P_3^A \oplus S_1$, where P_3^A denotes the projective A -module corresponding to the vertex 3 and S_1 the simple A -module corresponding to the vertex 1.

Since $\text{pd}_A S_1 = \infty$ we conclude that $\text{pd}_A L = \infty$.

2. MAIN RESULTS

Let R be a split-by-nilpotent extension of A by the nilpotent ideal E . In this section, we study the relationship between the Gorenstein homological properties of A and the Gorenstein homological properties of R . We start studying the semi-Gorenstein-projective modules over these algebras.

Proposition 2.1. *Let R be a split-by-nilpotent extension of A by the nilpotent ideal E .*

- (i) *Assume that $\text{pd}_A R < \infty$ and $\text{id}_A R < \infty$. If N is a semi-Gorenstein-projective A -module, then $R \otimes_A N$ is also a semi-Gorenstein-projective R -module.*
- (ii) *Assume that $\text{pd}_R A < \infty$ and $\text{id}_R A < \infty$. If L is a semi-Gorenstein-projective R -module, then $A \otimes_R L$ is also a semi-Gorenstein-projective A -module.*

Proof. We only prove (i) since (ii) follows with similar arguments.

Assume that N is a semi-Gorenstein-projective A -module. Since $\text{pd}_A R < \infty$ and $\text{id}_A R < \infty$, by Lemma 1.2 we have that $\text{Ext}_A^i(N, R) = 0$ and $\text{Tor}_i^A(R, N) = 0$. Let

$$P^\bullet : \cdots \rightarrow P_n \rightarrow P_{n-1} \rightarrow \cdots \rightarrow P_1 \rightarrow P_0 \rightarrow 0 \tag{2.1}$$

be a minimal projective resolution of N .

If we apply the functor $R \otimes_A -$ to (2.1), since $\text{Tor}_i^A(R, M) = 0$, we get the following exact sequence:

$$R \otimes_A P^\bullet : \cdots \rightarrow R \otimes_A P_n \rightarrow R \otimes_A P_{n-1} \rightarrow \cdots \rightarrow R \otimes_A P_1 \rightarrow R \otimes_A P_0 \rightarrow R \otimes_A N \rightarrow 0.$$

By [4, Lemma 1.3], we have that $R \otimes_A P^\bullet$ is a projective resolution of $R \otimes_A N$. Since $\text{Hom}_R(R \otimes_A P_i, R) \simeq \text{Hom}_A(P_i, R)$, we have that $\text{Hom}_R(R \otimes_A P^\bullet, R)$ is isomorphic to the sequence

$$0 \rightarrow \text{Hom}_A(P_0, R) \rightarrow \text{Hom}_A(P_1, R) \rightarrow \cdots \rightarrow \text{Hom}_A(P_n, R) \rightarrow \cdots,$$

which is an exact sequence because $\text{Ext}_A^i(M, R) = 0$. Therefore, $R \otimes_A N$ is a semi-Gorenstein-projective R -module. □

Corollary 2.2. *Let R be a split-by-nilpotent extension of A by the nilpotent ideal E .*

- (i) *Assume that $\text{pd}_A R < \infty$, $\text{id}_A R < \infty$, $\text{pd}_A DR < \infty$ and $\text{id}_A DR < \infty$.*
 - (a) *If N is a Gorenstein-projective A -module, then $R \otimes_A N$ is a Gorenstein-projective R -module.*
 - (b) *If N is a Gorenstein-injective A -module, then $\text{Hom}_A(M, R)$ is a Gorenstein-injective R -module.*
- (ii) *Assume that $\text{pd}_R A < \infty$, $\text{id}_R A < \infty$, $\text{pd}_R DA < \infty$ and $\text{id}_R DA < \infty$.*
 - (a) *If L is a Gorenstein-projective R -module, then $A \otimes_R L$ is a Gorenstein-projective R -module.*
 - (b) *If L is a Gorenstein-injective R -module, then $\text{Hom}_R(L, A)$ is a Gorenstein-injective R -module.*

Proof. (i) (a) Since N is a Gorenstein-projective A -module, we have that N and $\text{Tr } N$ are semi-Gorenstein-projective A -modules. By Proposition 2.1, we get that $R \otimes_A N$ and $\text{Tr } N \otimes_A R$ are semi-Gorenstein-projective R -modules. It follows from [4, Lemma 2.1] that $\text{Tr}(R \otimes_A N) \cong \text{Tr } N \otimes_A R$. Therefore, $R \otimes_A N$ is a Gorenstein-projective R -module.

(i) (b) Let N be a Gorenstein-injective A -module. By [5, X, Lemma 1.5], the module $\tau^{-1}N$ is a Gorenstein-projective A -module. The result follows from (i) (a) and the fact that $\tau_R(R \otimes \tau^{-1}N) \cong \text{Hom}_A(R, N)$ (see [4, Lemma 2.1]).

(ii) (a) Since L is a Gorenstein-projective R -module, we have that N and $\text{Tr } N$ are semi-Gorenstein-projective R -modules. Then by Proposition 2.1, we have that $A \otimes_R L$ and $\text{Tr } L \otimes_R A$ are semi-Gorenstein-projective A -modules. Since $\text{id}_R A < \infty$, we have that $\text{Tor}_1^R(A, L) = 0$. By [17, Proposition 3.7], $\text{Tr}(A \otimes_R L) \cong \text{Tr } L \otimes_R A$. Therefore, $A \otimes_A L$ is a Gorenstein-projective A -module.

(ii) (b) Let L be a Gorenstein-injective R -module. By [5, X, Lemma 1.5], $\tau^{-1}L$ is a Gorenstein-projective R -module. Since $\text{id}_R A < \infty$, we have that $\text{Tor}_1^R(A, L) = 0$. It follows from [17, Proposition 3.7] that $\tau_A(A \otimes \tau^{-1}L) \cong \text{Hom}_R(A, L)$. The result is a consequence of (ii) (a). \square

Theorem 2.3. *Let R be a split-by-nilpotent extension of A by the nilpotent ideal E . Assume that $\text{pd}_R E < \infty$, $\text{pd}_A E < \infty$, $\text{id}_R DE < \infty$ and $\text{id}_A DE < \infty$. Then A is Gorenstein if and only if R is Gorenstein.*

Proof. Assume that A is Gorenstein. First, we show that $\text{pd}_R DR < \infty$. It follows from Proposition 1.5 that

$$\text{pd}_R DR \leq \text{pd}_A DR + \text{pd}_R A.$$

Since $DR \cong DA \oplus DE$ as A -modules, we have $\text{pd}_A DR = \max\{\text{pd}_A DE, \text{pd}_A DA\}$. By definition of Gorenstein algebras we infer that $\text{pd}_A DA < \infty$. Analogously, since $\text{id}_A DE < \infty$, by Lemma 1.3 we get that $\text{pd}_A DE < \infty$. Then, $\text{pd}_A DR < \infty$.

On the other hand, since $\text{pd}_R E < \infty$ we have $\text{pd}_R A < \infty$, because $\Omega^1(A) \cong E$ in $\text{mod } R$, where $\Omega^1(A)$ denotes the first syzygy. Hence, $\text{pd}_R DR < \infty$.

Now we show that $\text{id}_R R < \infty$. It follows from Proposition 1.5 that

$$\text{id}_R R \leq \text{id}_A R + \text{id}_R DA.$$

Since $R \cong A \oplus E$ as A -modules, we have $\text{id}_A R = \max\{\text{id}_A A, \text{id}_A E\}$. By Lemma 1.3 we get $\text{id}_A E < \infty$, because $\text{pd}_A E < \infty$ and A is Gorenstein. Then, $\text{id}_A R < \infty$.

On the other hand, since the injective envelope of DA in $\text{mod } R$ is DR we get that $\Omega^{-1}(DA) \cong DE$. Therefore, since $\text{id}_R DE < \infty$ we obtain $\text{id}_R DA < \infty$. Hence, $\text{id}_R R < \infty$, concluding that R is Gorenstein.

Conversely, suppose that R is a Gorenstein algebra. By Proposition 1.5, we get that

$$\text{id}_A A \leq \text{id}_R A + \text{id}_A DR.$$

As A -modules, we have that $DR = DA \oplus DE$, which implies that $\text{id}_A DR = \text{id}_A DE < \infty$. It is clear that $\text{pd}_R A = \text{pd}_R M + 1 < \infty$. Since we are assuming that R is Gorenstein, we know that $\text{pd}_R A < \infty$ if and only if $\text{id}_R A < \infty$. Therefore, $\text{id}_A A < \infty$.

It remains to prove that $\text{pd}_A DA < \infty$. By Proposition 1.5, we get that

$$\text{pd}_A DA \leq \text{pd}_R DA + \text{pd}_A E.$$

By hypothesis, we know that $\text{pd}_A E < \infty$. Furthermore, we have $\text{id}_R DA = \text{id}_R DE + 1 < \infty$. Since R is Gorenstein, it follows that $\text{pd}_R DA < \infty$ if and only if $\text{id}_R DA < \infty$. Hence, $\text{pd}_A DA < \infty$ and in consequence A is Gorenstein. \square

The following example shows that the conditions $\text{id}_R DE < \infty$ and $\text{pd}_R E < \infty$ can not be removed.

Example 2.4. Consider the following algebras:

$$Q_A : \begin{array}{ccccc} & & 1 & \xrightarrow{\alpha} & 2 \\ & \nearrow \mu & & & \downarrow \beta \\ 5 & & 4 & \xleftarrow{\gamma} & 3 \\ & \nwarrow \delta & & & \end{array} \quad I_A = \langle \beta\alpha\mu, \gamma\beta\alpha, \mu\delta\gamma\beta, \alpha\mu\delta \rangle, \quad A = kQ_A/I_A$$

and

$$Q_R : \begin{array}{ccccc} & & 1 & \xrightarrow{\alpha} & 2 \\ & \nearrow \mu & \uparrow \epsilon & & \downarrow \beta \\ 5 & & 4 & \xleftarrow{\gamma} & 3 \\ & \nwarrow \delta & & & \end{array} \quad I_R = \langle \beta\alpha\mu, \gamma\beta\alpha, \mu\delta\gamma\beta, \alpha\mu\delta, \alpha\epsilon, \epsilon\gamma \rangle, \quad R = kQ_R/I_R.$$

Observe that R is a split-by-nilpotent extension of A by $E = \langle \epsilon \rangle$. It is not hard to see that A is a Gorenstein algebra and R is not so. As R -modules, $E \cong S_1$ and $DE \cong S_4$. Moreover, $\text{pd}_R E = \infty = \text{id}_R DE$.

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