A REMARK ON UNIFORM EXPANSION

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ABSTRACT. For every $\mathcal{U} \subset \text{Diff}_{\text{vol}}^{\infty}(\mathbb{T}^2)$ there is a measure of finite support contained in \mathcal{U} which is uniformly expanding.

0. INTRODUCTION

Let μ be a probability measure in $\text{Diff}^r(M)$ where M is a closed manifold of dimension $d := \dim(M)$. We denote $\mu^{(1)} = \mu$ and $\mu^{(n)} = \mu * \mu^{(n-1)}$. Note that $\mu^{(n)}$ is the pushforward by the composition of the product measure μ^n in $(\text{Diff}^r(M))^n$.

Definition 0.1 ([8, 4]). A probability measure μ in Diff^{*r*}(*M*) is uniformly expanding if there exists N > 0 such that for every $(x, v) \in T^1M$ one has that

$$\int \log \|D_x fv\| \, d\mu^{(N)}(f) > 2$$

This is a robust¹ condition on μ . This notion as well as similar ones have been studied extensively recently, as it allows one to describe quite precisely the stationary measures for random walks with μ as law (see below for more discussion).

Here we will make a remark (which can be related to some results, e.g. in [3, 6, 14]) that points in the direction of the abundance of uniform expansion.

Theorem 0.2. For every open set \mathcal{U} in $\text{Diff}_{vol}(\mathbb{T}^2)$ there is a finitely supported probability measure μ whose support is contained in \mathcal{U} and μ is uniformly expanding.

As a consequence of the results of [5, 13, 6] one deduces that:

Corollary 0.3. For every $\mathcal{U} \subset \text{Diff}_{\text{vol}}(\mathbb{T}^2)$ there is a probability measure μ finitely supported in \mathcal{U} such that the orbit of every point under the random walk on \mathbb{T}^2 produced by μ equidistributes in \mathbb{T}^2 . Moreover, for every μ' close to μ in the weak-*

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¹To be precise, if μ has compact support, then there is a neighborhood \mathcal{U} of its support such that any measure μ' which has support in \mathcal{U} and is weak-*-close to μ , is also uniformly expanding (see (3.1) below).

topology, every orbit of the random walk is either finite or dense (in particular, the elements of the support of μ generate a stably ergodic semigroup²).

We discuss some other consequences as well as some possible extensions in Section 4.

Before we jump into the mathematical content, let us expand a bit on the context of our results. Given a probability measure in the space of transformations of a compact space X, we can define a random walk on this space by picking a point in X and applying transformations to the point with respect to the law given by the probability measure. This induces a random dynamical system on X whose understanding became a relevant problem in different areas of mathematics. On the one hand, in homogeneous dynamics the results of Benoist–Quint [2] and more recently Eskin–Lindenstrauss [8] have used the study of these random walks to extend orbit closure results to the setting where no unipotent elements are available. The results in [8] were in turn motivated by similar questions that came out in Teichmuller dynamics and studied by Eskin–Mirzakhani [9]. Similar problems have been also considered by Dolgopyat-Krikorian [7] trying to understand the simultaneous linearization of diffeomorphisms of the sphere and stable ergodicty of random walks, and have been extended in other settings [14, 10]. Finally, the notion also appears when trying to understand the statistical properties of the standard map or other systems after random noise has been added to them, like it is done for instance in [3]. The systematic study of random dynamics on compact manifolds was initiated in [5] for surfaces, and more recently it is being developed in [4] where the notion of *uniform expansion* was proposed. We refer the reader to [6, 13] for other related results.

In principle, it is unclear how abundant the notion of uniform expansion is. This paper is a contribution in the direction of showing that it should be quite typical.

1. CRITERIA FOR UNIFORM EXPANSION

For a probability measure μ in $\operatorname{Diff}^r(M)$ one can formally define a random walk on $\operatorname{Diff}^r(M)$ as follows: consider $\Omega = (\operatorname{Diff}^r(M))^{\mathbb{N}}$ with the measure $\mu^{\mathbb{N}}$ which is invariant under the shift map $T: \Omega \to \Omega$ sending a sequence $\omega = (f_0, \ldots, f_n, \ldots)$ to $T\omega = (f_1, \ldots, f_n, \ldots)$. We denote $f_{\omega}^n = f_{n-1} \circ \ldots \circ f_0$. Notice that f_{ω}^n distributes as $\mu^{(n)}$ if one chooses $\omega \in \Omega$ with law $\mu^{\mathbb{N}}$.

Recall that a probability measure ν in M is called μ -stationary if $\mu * \nu = \nu$; equivalently, the measure $\mu^{\mathbb{N}} \times \nu$ is invariant under the skew-product dynamics $(\omega, x) \mapsto (T\omega, f_0(x))$. It is *ergodic* if every measurable set $A \subset M$ which is f-invariant for μ -a.e. f satisfies that $\nu(A)$ is either 0 or 1.

²A semigroup generated by diffeomorphisms f_1, \ldots, f_k is *stably ergodic* if there are neighborhoods \mathcal{U}_i of f_i such that for every family $\{g_i\}_i$ with $g_i \in \mathcal{U}_i$ we have that the semigroup generated by $\{g_1, \ldots, g_k\}$ satisfies that every set which is invariant under all the g_i has full or zero measure. The argument also gives robust transitivity, which also follows by a stronger result [12]; however, our argument provides robust transitivity even outside the set of volume preserving diffeomorphisms (see Remark 4.2).

For an ergodic μ -stationary measure ν (under some integrability conditions³ on μ) there are numbers $\lambda_1(\nu) \geq \ldots \geq \lambda_d(\nu)$, called the *Lyapunov exponents*, characterized by the fact that for ν -a.e. $x \in M$ and $\mu^{\mathbb{N}}$ -a.e. $\omega \in \Omega$ there exists some basis $\{v_i\}_i$ of $T_x M$ such that

$$\lim_{n} \frac{1}{n} \log \|D_x f^n_\omega v_i\| = \lambda_i(\nu).$$

We say that an ergodic μ -stationary measure ν is expanding if $\lambda_1(\nu) > 0$.

Given an expanding μ -stationary measure ν , we say that it has a *non-random* weak stable direction if there exists a measurable subbundle $E \subset TM$ defined for ν -a.e. $x \in M$ such that

• $D_x f(E(x)) = E(f(x))$ for μ -a.e. f and ν -a.e. x.

• If $v \in E(x) \setminus \{0\}$ then for $\mu^{\mathbb{N}}$ -a.e. ω one has that $\lim_n \frac{1}{n} \log \|D_x f_{\omega}^n v\| \leq 0$. The following result is proven in [6, Theorem C] (see also [13]).

Theorem 1.1. The measure μ is uniformly expanding if and only if every ergodic μ -stationary measure ν is expanding and does not admit a non-random weak stable direction.

Notice that in the case where μ is supported in the space of volume preserving diffeomorphisms of surfaces, every expanding μ -stationary measure must be hyperbolic with one positive and one negative exponent. The only possible non-random weak stable direction is the stable one which is one-dimensional. We refer the reader to [11] for more information on stationary measures.

We state the following criteria, sometimes called the *invariance principle* (see e.g. [1, Theorem B]), that we will use to ensure that the measure we are considering is expanding.

Theorem 1.2. Let ν be an ergodic μ -stationary measure which is not expanding. Then, ν is f-invariant for μ -a.e. f. Moreover, if ν is f-invariant for μ -a.e. f and all exponents equal 0, then there is an invariant ν -measurable distribution or conformal structure.

Here, an invariant ν -measurable distribution means a measurable section E: $M \to \operatorname{Gr}_i(TM)$ (where $\operatorname{Gr}_i(TM)$ denotes the Grasmannian bundle of *i*-planes of M) which is well defined modulo sets of ν -measure zero and such that $D_x f(E(x)) = E(f(x))$ for μ -almost every f and ν -almost every x. Similarly, an invariant ν measurable conformal structure means a measurable section $E : M \to \operatorname{CS}(TM)$ (where $\operatorname{CS}(TM)$ denotes the bundle of conformal structures over M, that is, at each $x \in M$ the fiber $\operatorname{CS}(T_x M)$ corresponds to the space of inner products in $T_x M$ up to homothety⁴). We refer the reader to [5, §13.2.2] for a detailed explanation of how Theorem 1.2 follows from the results of [1] in the case of surfaces (which is the one we will use here).

³For simplicity we will assume that every μ is boundedly supported.

⁴Or equivalently, if one fixes a Riemannian metric on M, we can identify $CS(T_xM)$ with the space $(SL(T_xM)/SO(T_xM))/_{\mathbb{R}}$ where $SO(T_xM)$ are the linear transformations that are an isometry with respect to the Riemannian inner product on T_xM .

2. Diffusion of a diffeomorphism

Consider a smooth function $\varphi: S^1 \to [0,1]$ with the property that $\varphi'(t) > 0$ for $t \in (0, \frac{1}{2})$ and $\varphi'(t) < 0$ for $t \in (\frac{1}{2}, 1)$, where we identify $S^1 = [0, 1]/_{1\sim 0}$.

We can choose families of diffeomorphisms $g_1^t, g_2^t, g_3^t, g_4^t \in \text{Diff}_{\text{vol}}^{\infty}(\mathbb{T}^2)$ as follows:

- $g_1^t(x,y) = (x+t,y),$
- $g_2^t(x,y) = (x,y+t),$
- $g_3^t(x, y) = (x + t\varphi(y), y)$, and
- $g_4^t(x,y) = (x, y + t\varphi(x)).$

Note that for t = 0 all diffeomorphisms are the identity and that the families are continuous in $\text{Diff}_{\text{vol}}^{\infty}(\mathbb{T}^2)$. Here we are considering coordinates $(x, y) \in \mathbb{T}^2 \cong \mathbb{R}^2/\mathbb{Z}^2 \cong S^1 \times S^1$.

The following will be used to show that a certain random walk has nonzero Lyapunov exponents and later to show that it is uniformly expanding.

Proposition 2.1. Let ν be a measure which is not mutually singular with respect to vol. Then, there are no ν -measurable line bundles or conformal structures in \mathbb{T}^2 invariant under $\hat{g}_1 = g_1^{\alpha}$, $\hat{g}_2 = g_2^{\beta}$, $\hat{g}_3 = g_3^{\alpha}$, $\hat{g}_4 = g_4^{b}$ if $\alpha, \beta \in \mathbb{R} \setminus \mathbb{Q}$ and a, b > 0.

Proof. First suppose that there is a measurable line bundle, i.e. $(x, y) \mapsto \Phi((x, y)) \in \mathbb{P}(T_{(x,y)}\mathbb{T}^2)$ a ν -measurable function that we assume satisfies $D\hat{g}_i(\Phi((x,y))) = \Phi(\hat{g}_i((x,y)))$ for ν -almost every $(x, y) \in \mathbb{T}^2$. Take ν_0 to be the absolutely continuous part of ν (that is, $(\nu - \nu_0) \perp \text{vol}$). We will show that $\nu_0 = 0$.

Let us first show that if Φ is invariant under \hat{g}_1 and \hat{g}_3 then Φ must be the line field $(x, y) \mapsto \mathbb{R}\begin{pmatrix} 1 \\ 0 \end{pmatrix}$ up to ν_0 -measure 0. A symmetric argument using \hat{g}_2 and \hat{g}_4 says that Φ must be $(x, y) \mapsto \mathbb{R}\begin{pmatrix} 0 \\ 1 \end{pmatrix}$ up to ν_0 -measure 0, which implies that $\nu_0 = 0$. Here we are using coordinates v = (x, y) on \mathbb{T}^2 seen as $\mathbb{R}^2/\mathbb{Z}^2$ and identifying $T_v \mathbb{T}^2$ with \mathbb{R}^2 via the coordinates (x, y) (e.g. $\begin{pmatrix} 1 \\ 0 \end{pmatrix}$ is the vector tangent to the curve (x + t, y)).

Note that if $x \neq \{0, 1/2\}$ then we have that for every $y \in S^1$ and direction $\xi \in \mathbb{P}(T_{(x,y)}\mathbb{T}^2) \cong \mathbb{P}(\mathbb{R}^2)$ we have that $D_{(x,y)}\hat{g}_3^n\xi \to \mathbb{R}\begin{pmatrix}1\\0\end{pmatrix}$.

For every $\varepsilon > 0$, let $K \subset \mathbb{T}^2$ be a compact set with $\nu_0(K) \ge (1-\varepsilon)\nu_0(\mathbb{T}^2)$, where Φ is continuous. It follows from Poincaré recurrence that for almost every $(x, y) \in K$ we have that there is $n_j \to \infty$ such that $\hat{g}_3^{n_j}(x, y) \to (x, y)$ and $\hat{g}_3^{n_j}(x, y) \in K$. By continuity and since $K \cap \{0, 1/2\} \times S^1$ has measure zero, this implies that $\Phi((x, y)) = \mathbb{R}\begin{pmatrix} 1\\ 0 \end{pmatrix}$ for every $(x, y) \in K$. Since ε was arbitrary we deduce that $\Phi(x, y)$ is ν_0 -almost everywhere equal to $\mathbb{R}\begin{pmatrix} 1\\ 0 \end{pmatrix}$. The same argument applied to \hat{g}_2 and \hat{g}_4 gives that Φ must be ν_0 -almost everywhere equal to $\mathbb{R}\begin{pmatrix} 0\\ 1 \end{pmatrix}$. Since these two full ν_0 -measure sets are disjoint, this implies that $\nu_0 = 0$.

To conclude it is enough to show that there are no ν -measurable conformal invariant structures. But this also follows from the fact that almost everywhere the norm of $D_{(x,y)}\hat{g}_3^n$ is unbounded and if we pick a compact set where the conformal structure is continuous, the same argument as above implies that this set must have zero measure under vol.

The fact that vol plays a special role has to do with the kind of random walk we will chose.

Fix \mathcal{U} an open set in $\operatorname{Diff}_{\operatorname{vol}}^{\infty}(\mathbb{T}^2)$. For small $\varepsilon > 0$ and $f_0 \in \mathcal{U}$ such that $g_i^t \circ f_0 \in \mathcal{U}$ for all $|t| \leq \varepsilon$ and positive numbers p_i such that $\sum_{i=0}^4 p_i = 1$, we will consider $\hat{\mu}$ to be the following measure on $\operatorname{Diff}_{\operatorname{vol}}^{\infty}(\mathbb{T}^2)$ (supported on \mathcal{U}):

$$\hat{\mu} = p_0 \delta_{f_0} + \sum_{i=1}^4 p_i \int_{-\varepsilon}^{\varepsilon} \delta_{g_i^t \circ f_0} dt.$$
(2.1)

We call $\hat{\mu}$ a diffusion of f_0 . Note that $\hat{\mu}$ is very close to δ_{f_0} both in support and in the weak-* topology as we take $\varepsilon \to 0$ (and independently of the values of p_i).

Proposition 2.2. If ν is a $\hat{\mu}$ -stationary measure then it is not mutually singular with respect to vol.

Proof. Since $\hat{\mu}^{(k)} * \nu = \nu$, it is enough to show that for every probability measure η in \mathbb{T}^2 we have that $\hat{\mu}^{(2)} * \eta$ has an absolutely continuous part with respect to vol. We can write

$$\eta(E) = \int_E \delta_v(E) \, d\eta(v).$$

We define $\hat{\eta} = \hat{\mu}^{(2)} * \eta$ and we get that

$$\hat{\eta}(E) = \hat{\mu}^{(2)} * \eta(E) = \int_{\mathcal{U}} \int_{E} \delta_{f(v)}(E) \, d\eta(v) \, d\hat{\mu}^{(2)}(f).$$

Changing the order of integration we can compute, for some $v \in \mathbb{T}^2$, the value of the measure in \mathbb{T}^2 defined by

$$\hat{\eta}_v := \int_{\mathcal{U}} \delta_{f(v)} \, d\hat{\mu}^{(2)}(f),$$

and we get that $\hat{\eta}(E) = \int \hat{\eta}_v(E) \, d\eta(v)$ for every measurable $E \subset \mathbb{T}^2$.

Write $d\hat{\eta}_v = \rho_v \, d\text{vol} + \hat{\eta}_v^{\perp}$, where ρ_v is a L^1 density and $\hat{\eta}_v^{\perp}$ is mutually singular with respect to vol. We claim that there is $c_0 > 0$ independent of η such that $\int \rho_v \, d\text{vol} > c_0$ for every $v \in \mathbb{T}^2$. This is because there is $c_0 > 0$ such that

$$\hat{\mu}^{(2)} = c_0 \int_{-\varepsilon}^{\varepsilon} \int_{-\varepsilon}^{\varepsilon} \delta_{R_{(t,s)} \circ f_0} dt ds + \tilde{\mu},$$

where $R_{(t,s)}(x,y) = (x+t, y+s) \mod \mathbb{Z}^2$ and $\tilde{\mu}$ is a positive measure in \mathbb{T}^2 . In particular, this implies that for every $v \in \mathbb{T}^2$ we have that

$$\hat{\eta}_v = c_0 \int_{-\varepsilon}^{\varepsilon} \int_{-\varepsilon}^{\varepsilon} \delta_{R_{(t,s)} \circ f_0(v)} dt ds + \int_{\mathcal{U}} \delta_{f(v)} d\tilde{\mu}(v).$$

This implies that $\hat{\eta}$ also has an absolutely continuous part obtained by integrating ρ_v against η .

Remark 2.3. In fact, one can use this argument to show that ν has to be absolutely continuous with respect to vol, since it can be seen that each successive convolution with $\hat{\mu}$ gives more regularity. With some more work, one may show that it is in

fact vol; however, we will not pursue this line since we will get it a posteriori by appealing to [6, Theorem C and D]. See also [3, Lemma 5] for a similar argument.

3. Discretizing the diffusion and proof of Theorem 0.2

We first show that the measure defined in (2.1) is uniformly expanding.

Proposition 3.1. The measure $\hat{\mu}$ is uniformly expanding.

Proof. Let us first show that if ν is an ergodic stationary measure then it has to be hyperbolic. If it is not, then we can apply Theorem 1.2 and deduce that ν is f_i^t -invariant for all *i*, where $f_i^t = g_i^t \circ f_0$. But this means that $\nu = (g_i^t)_*(f_0)_*\nu =$ $(g_i^t)_*\nu$ for almost every⁵ $t \in (-\varepsilon, \varepsilon)$, where the last equality follows from the fact that ν is f_0 -invariant. Since $g_2^{\alpha} \circ g_1^{\beta}$ is uniquely ergodic for some small irrational α, β , we deduce that $\nu = \text{vol.}$

We first show that vol is a hyperbolic measure for the random walk. Using Theorem 1.2 it is enough to show that there are no vol-measurable invariant line fields or conformal structures. But this follows from Proposition 2.1 because if E is (say) an invariant line field by $\hat{\mu}$ -a.e. f it follows that it is Df_0 -invariant as well as invariant under some $D(g_i^t \circ f_0)$ for almost all $t \in (-\varepsilon, \varepsilon)$. In particular, we deduce that there are irrational numbers $\alpha, \beta \in (-\varepsilon, \varepsilon)$ and positive numbers $a, b \in (0, \varepsilon)$ such that E is invariant under $D(g_1^{\alpha} \circ f_0), D(g_2^{\beta} \circ f_0), D(g_3^{\alpha} \circ f_0)$ and $D(g_4^b \circ f_0)$. Using that the line field is Df_0 -invariant we deduce that it has to be $Dg_1^{\alpha}, Dg_2^{\beta}, Dg_3^{\alpha}, Dg_4^{b}$ -invariant, which is impossible due to Proposition 2.1. The same argument applies for measurable conformal structures.

This implies that every $\hat{\mu}$ -ergodic stationary measure ν is hyperbolic.

Now we want to show that the stable direction of ν is not non-random. But this follows using the same argument, applying Proposition 2.2 which shows that ν has an absolutely continuous part, and so one can apply Proposition 2.1 to show that the stable direction cannot be non-random.

We can now show:

Proof of Theorem 0.2. We have established that $\hat{\mu}$ is uniformly expanding. That is, there is N > 0 such that for every $v \in \mathbb{T}^2$ and $w \in T_v \mathbb{T}^2$ unit vector we have that

$$\int \log \|D_v f w\| \, d\hat{\mu}^{(N)}(f) > 4.$$

Consider a sequence μ_n of finitely supported measures whose support is contained in the support of $\hat{\mu}$ such that $\mu_n \to \hat{\mu}$ in the weak-* topology. It follows that for every $v \in \mathbb{T}^2, w \in T_v \mathbb{T}^2$ there is $n_0 = n_0(v, w)$ such that for $n > n_0$ we have

$$\int \log \|D_v f w\| \, d\mu_n^{(N)}(f) > 3.$$

⁵Since preserving a measure is a closed condition, we can actually say that f_i^t and g_i^t preserve ν for every $t \in (-\varepsilon, \varepsilon)$, but this is not necessary.

Using the fact that the support of μ_n is contained in \mathcal{U} we know that there is $\delta > 0$ such that if $d(v, v') < \delta$ and $d(w, w') < \delta$ then $n > n_0(v, w)$ implies

$$\int \log \|D_{v'} f w'\| \, d\mu_n^{(N)}(f) > 2. \tag{3.1}$$

This implies that one can choose a uniform n_1 such that if $n > n_1$ then (3.1) holds for every v, w, which is what we want to prove.

4. Some comments and possible extensions

4.1. Equidistribution. Here we prove Corollary 0.3 and make some additional comments.

Proof of Corollary 0.3. Using [6, Theorem D] we know that for a uniformly expanding measure, every orbit is either finite or dense, and moreover, dense orbits equidistribute. Since in Theorem 0.2 we have constructed a uniformly expanding measure with finite support in every open set of $\text{Diff}_{\text{vol}}^{\infty}(\mathbb{T}^2)$, we deduce that for every μ' in a neighborhood of μ in the weak-* topology such that the support⁶ of μ' is contained in a neighborhood of the one of μ , we have that every orbit by the random walk generated by μ' is either finite or equidistributed with respect to vol (thus dense).

A finite orbit must be invariant under μ' -a.e. diffeomorphism of the support. Recall that, without loss of generality, we can assume that μ , the measure constructed in Theorem 0.2, is supported on finitely many diffeomorphisms including f_0 (a certain diffeomorphism chosen somewhere) and $g_1^{\alpha} \circ f_0$ with some $\alpha \notin \mathbb{Q}$. This implies that if there is a finite orbit then it must be invariant under both diffeomorphisms, but this would imply that it is invariant under g_1^{α} which does not have finite orbits. This shows that every orbit of the random walk generated by μ equidistributes towards vol.

To get the stable ergodicity of the semigroup generated by the elements of the support of μ we just need to notice that after perturbation it is not possible that every point has finite orbit because every stationary measure is hyperbolic and therefore there must be infinite orbits⁷.

In fact, one can easily show that in a neighborhood of μ there is a residual subset of measures that generate a minimal semigroup:

Proposition 4.1. Given open sets $\mathcal{U}_1, \ldots, \mathcal{U}_k \in \text{Diff}_{\text{vol}}^{\infty}(\mathbb{T}^2)$ there is a residual subset \mathcal{R} of the product $\mathcal{U}_1 \times \ldots \times \mathcal{U}_k$ such that if $(f_1, \ldots, f_k) \in \mathcal{R}$ then the diffeomorphisms f_1, \ldots, f_k do not have a common invariant finite set.

Proof. We can assume that f_1 is Kupka–Smale, so in particular, it has finitely many orbits of period $\leq N$ for every N > 0. We claim that for every N, the set

⁶This is to guarantee that μ' is also uniformly expanding.

⁷In fact, the argument gives stable ergodicity of the random walk, a concept we have not defined; see [7, 14].

 \mathcal{A}_N of $\mathcal{U}_2 \times \ldots \times \mathcal{U}_k$ consisting of diffeomorphisms (f_2, \ldots, f_k) that do not preserve the set

 $P_N = \{x \in \mathbb{T}^2 : \text{the orbit of } x \text{ under } f_1 \text{ has less than } N \text{ points} \}$

is open and dense in $\mathcal{U}_2 \times \ldots \times \mathcal{U}_k$. It is clear that \mathcal{A}_N^c is closed, and since P_N is a finite set for every N, a small perturbation of some of the diffeomorphisms allows one to remove the family from \mathcal{A}_N^c , so we complete the proof. \Box

Remark 4.2. We point out that the condition of being uniformly expanding is open among measures supported in $\text{Diff}^{\infty}(\mathbb{T}^2)$ and not just those preserving volume. The results of [5] hold in this more general setting, but instead of equidistribution to vol those results prove equidistribution to some SRB-measure (this is enough to get robust transitivity of the semigroup, for instance). We refer the reader to that paper for more discussion. We also point out the recent preprint [10] where a notion of stable ergodicity outside volume preserving semigroups is proposed.

4.2. Bound on the cardinality of the support. Theorem 0.2 can be compared with [6, Theorem A]. On the one hand, here we obtain perturbations of any map and show that they are uniformly expanding, but on the other hand the results in [6] are quite deeper as they allow one to control the number of diffeomorphisms in the support (the results are more *effective*). In particular, the way that uniform expansion is checked in [6] (see [6, Proposition 5.4]) allows one to check it for a given family of diffeomorphisms, while here we use some abstract criteria that produces uniform expansion for a continuous measure, and then gives the finite support by a discretisation argument that does not control the number of diffeomorphisms in the support.

A particularly puzzling question that one can pose in the direction of trying to control the number of diffeomorphisms in the support of a measure which is uniformly expanding close to a given diffeomorphism is the following:

Question 1. Is it possible to show that for $\alpha, \beta \in \mathbb{R} \setminus \mathbb{Q}$ and small a, b > 0 we have that the diffeomorphisms $g_1^{\alpha}, g_2^{\beta}, g_3^{a}, g_4^{b}$ do not leave any ν -measurable line field, where ν is any measure quasi-invariant under all the diffeomorphisms? (Compare with Proposition 2.1.)

We can show the following. Denote $\hat{g}_1 = g_1^{\alpha}$, $\hat{g}_2 = g_2^{\beta}$, $\hat{g}_3 = g_3^{a}$, $\hat{g}_4 = g_4^{b}$ for small $\alpha, \beta \in \mathbb{R} \setminus \mathbb{Q}$ and a, b > 0.

Proposition 4.3. Let $\hat{\mu}$ be a (symmetric) probability measure in $\text{Diff}_{vol}^{\infty}(\mathbb{T}^2)$ such that $\hat{\mu}(\{\hat{g}_i^{\pm 1}\}_{i=1,2,3,4}) = 1$ and $\hat{\mu}(\{\hat{g}_i\}) = \hat{\mu}(\{\hat{g}_i^{-1}\}) > 0$ for i = 1, 2, 3, 4. Then $\hat{\mu}$ is uniformly expanding.

Proof. Using Proposition 2.1 we know that every stationary measure is hyperbolic (note that if ν is a stationary measure that is not hyperbolic, it must be \hat{g}_i -invariant for all i by Theorem 1.2 and thus has to be vol and preserve a measurable line field or conformal structure, and then one can apply Proposition 2.1).

So, we only need to check that if ν is a $\hat{\mu}$ -stationary measure, then the stable Oseledets direction E^s (measurable and defined $(\hat{\mu}^{\mathbb{Z} \ge 0} \times \nu)$ -a.e.) is not invariant under all g_i .

Assume by contradiction that E^s is invariant. Consider the skew-product dynamics $F: \Sigma^+ \times \mathbb{T}^2 \to \Sigma^+ \times \mathbb{T}^2$ where

$$\Sigma^{+} = \{\hat{g}_1, \hat{g}_1^{-1}, \hat{g}_2, \hat{g}_2^{-1}, \hat{g}_3, \hat{g}_3^{-1}, \hat{g}_4, \hat{g}_4^{-1}\}^{\mathbb{Z}_{\geq 0}},$$

which we know leaves invariant the measure $\hat{\nu} = \hat{\mu}^{\mathbb{Z} \ge 0} \times \nu$. Denote by $\omega = (\omega_i)_{i \ge 0}$ a generic word in Σ^+ . By assumption, the bundle $(\omega, (x, y)) \mapsto E^s(\omega, (x, y))$ associated with the stable Oseledets bundle of the hyperbolic measure $\hat{\nu}$ does not depend on ω . Since $\hat{\mu}$ is symmetric, if we define $S : \Sigma^+ \times \mathbb{T}^2 \to \Sigma^+ \times \mathbb{T}^2$ as $S(\omega, v) = (\hat{\omega}, v)$, where $\hat{\omega} = (\omega_0^{-1}, \omega_1^{-1}, \ldots)$, we get that $S_* \hat{\nu} = \hat{\nu}$.

Then we can define the measurable function $\psi : \Sigma^+ \times \mathbb{T}^2 \to \mathbb{R}$ as $(\omega, (x, y)) \mapsto \log \|D_{(x,y)}\omega_0\|_{E^s((x,y))}\|$. Using that $S_*\hat{\nu} = \hat{\nu}$ and that $\psi \circ S = -\psi$ we get

$$\int_{\Sigma^+ \times \mathbb{T}^2} \psi \, d\hat{\nu} = \int_{\Sigma^+ \times \mathbb{T}^2} \psi \, dS_* \hat{\nu} = \int_{\Sigma^+ \times \mathbb{T}^2} \psi \circ S \, d\hat{\nu}$$
$$= -\int_{\Sigma^+ \times \mathbb{T}^2} \psi \, d\hat{\nu} \Rightarrow \int_{\Sigma^+ \times \mathbb{T}^2} \psi \, d\hat{\nu} = 0.$$

Therefore, the Birkhoff ergodic theorem implies that $\hat{\nu}$ has a zero Lyapunov exponent, a contradiction. (Compare with [5, Lemma 13.2].)

Using the same argument as in Proposition 3.1 to show that we can have uniformly expanding measures in any open set with support in a uniformly bounded number of diffeomorphisms, one could use the diffeomorphisms of the previous proposition if one can answer the following, which may have interest by itself (compare with [6, §2], [13, §4.3]):

Question 2. Assume that a finitely supported probability measure $\hat{\mu}$ on $\text{Diff}_{\text{vol}}^{\infty}(\mathbb{T}^2)$ is uniformly expanding. Is it true that if ν is a measure quasi-invariant under every f in $\text{supp}(\hat{\mu})$ it follows that there are no ν -measurable line fields (defined ν -a.e.) which are invariant under every $f \in \text{supp}(\hat{\mu})$?

4.3. **Higher dimensions.** We comment now on the extensions to higher dimensions (or other surfaces). First of all, we note that in [4] uniform expansion will be used to obtain rigidity statements about stationary measures, so the notion is still relevant in higher dimensions. Here we must remark that uniform expansion in higher dimensions admits different formulations. One could keep the exact Definition 0.1, which also makes sense in higher dimensions, but one could also ask for something stronger, in particular asking that the condition hold not only for vectors but also for exterior products up to codimension one. For such condition, one can also obtain a result analogous to Theorem 1.1.

About the proofs, we only used \mathbb{T}^2 to have specific coordinates and have a simple proof of Proposition 2.1. Let us sketch a way to obtain a similar result for general closed manifolds.

Fix any closed manifold M. For every $x \in M$ we can find a continuous finite parameter family $g_x^a \in \text{Diff}_{\text{vol}}^\infty(M)$ with $a \in (-1, 1)^\ell$ such that:

- There is a neighbourhood U_x of x such that for every $y \in U$ we have that the map $a \mapsto g_x^a(y)$ is a smooth map from $(-1,1)^{\ell}$ to M and the derivative at 0 is surjective.
- For every $1 \leq i \leq d-1$ and $w \in \operatorname{Gr}_i(T_xM)$ the map $a \mapsto D_x g_x^a(w)$ is a smooth map from $(-1,1)^{\ell}$ to $\operatorname{Gr}_i(TM)$ whose derivative is surjective at 0.

Note that such a family of maps can be constructed first in \mathbb{R}^d with respect to the origin⁸ and then send them to M via coordinate charts. We can find a finite set x_1, \ldots, x_n of M such that the neighborhoods U_{x_i} of the first item cover M.

By considering any diffeomorphism $f_0 \in \text{Diff}_{\text{vol}}^{\infty}(M)$ we can construct a diffusion $\hat{\mu}$ by considering a small delta at f_0 together with measures that charge uniformly the submanifolds $a \mapsto g_{x_i}^a$ for $a \in (-\varepsilon, \varepsilon)^{\ell}$ in such a way that $\hat{\mu}$ is supported in a given neighborhood \mathcal{U} of f_0 in $\text{Diff}_{\text{vol}}^{\infty}(M)$. Proposition 2.2 will work exactly the same in this context to give that any stationary measure will have some absolutely continuous part. It is not hard to see that for such a family of diffeomorphisms, the unique common invariant measure has to be vol, so we deduce that if there is a stationary measure which is not expanding, then it must be vol. Finally, using the second property and Theorem 1.2 we can see that vol is also expanding.

Using the fact that stationary measures have an absolutely continuous part, and that we can take points everywhere using the diffeomorphisms that we chose, we can argue as in Proposition 3.1 (changing Proposition 2.1 by a finer use of the second property defining the parametric families of diffeomorphisms) to deduce that $\hat{\mu}$ cannot leave invariant any bundle and therefore it is uniformly expanding. Finally, a discretization using the openness of the uniform expansion property allows one to make the support finite and show the analogous result as Theorem 0.2 for any closed manifold.

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⁸One uses the first *d*-parameters to move the origin and get the first condition, and then, for each $1 \le i \le d-1$ one can use dim(Gr_i(\mathbb{R}^d)) parameters to fulfil the second condition for each *i*.

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